#### **PCT**

### WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:
G01N 21/71, 33/24

(11) International Publication Number: WO 00/14516

(43) International Publication Date: 16 March 2000 (16.03.00)

(21) International Application Number: PCT/AU99/00713

(22) International Filing Date: 1 September 1999 (01.09.99)

(30) Priority Data:

PP 5730

4 September 1998 (04.09.98) AU

(71) Applicant (for all designated States except US): GENERA-TION TECHNOLOGY RESEARCH PTY. LTD. [AU/AU]; Unit 8/677 Springvale Road, Mulgrave, VIC 3170 (AU).

(72) Inventor; and

- (75) Inventor/Applicant (for US only): CHADWICK, Bruce, Leonard [AU/AU]; 7 Kardinia Road, Glen Iris, VIC 3146 (AU).
- (74) Agent: CARTER SMITH & BEADLE; Level 10, 189 Kent Street, Sydney, NSW 2000 (AU).

(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY,

DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT,

SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW,

Published

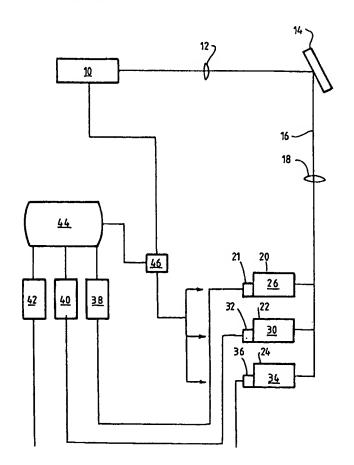
With international search report.

ML, MR, NE, SN, TD, TG).

(54) Title: LASER-INDUCED IONISATION SPECTROSCOPY, PARTICULARLY FOR COAL

#### (57) Abstract

An apparatus for analyzing material, such as coal, comprises subjecting the coal (14) to laser light. The laser light is used to vaporize and ionize a small amount of the coal to produce spectral emissions. A plurality of detection means (26, 30, 34), each of which detect a part of the spectrum of the spectrum emissions, collect spectral information and pass it to data collection means (38, 40, 42). The data is then analyzed to determine the presence and/or amount of one or more elements or species in the coal. In a preferred embodiment, the apparatus has a plurality of data collection means (26, 30, 34), with each of the plurality of detection means being associated with a respective data collection means (38, 40, 42). The apparatus provides rapid and accurate analysis of the coal. The apparatus may be used to analyze coal on a conveyor belt or coal in a seam in the ground.



#### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia .
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka .	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

10

15

20

25

30

#### LASER-INDUCED IONISATION SPECTROSCOPY, PARTICULARLY FOR COAL

The present invention relates to an apparatus and method for analysing a material. The present invention is particularly suitable for analysing coal and for convenience the invention will hereinafter be described with reference to its application in analysing coal. However, it will be appreciated that the invention should not be considered to be restricted to the analysis of coal.

Coal is a fossil fuel source that has carbon and hydrocarbons as its main constituents. In addition, coal also contains lesser, although still significant, amounts of silicon, aluminium, iron, calcium, sodium, potassium and other elements. These species generally report to the ash after the coal has been combusted. Some coals, such as Victorian brown coals, also contain appreciable quantities of water.

It would be desirable to be able to analyse coal in two situations. The first of these involves analysis of the coal in-situ in the coal seam to assist in short term mine planning and to also be able to provide a more accurate estimate of the value of coal in the seam. The second application involves analysis of the coal shortly before or during combustion. This could assist in predicting the likelihood of fouling and slagging in a coal-fired boiler or combustor, thereby enabling preventative action to be taken. Fouling and slagging deposits are a major difficulty in the power generation industry and the severity of these deposits depends upon the inorganic constituents in the coal.

A number of techniques have been described that provide for coal analysis. Known analytical techniques for determining the composition of coal in a coal seam typically require the extraction of a sample or number of samples from the seam and returning the samples to a laboratory for conventional coal analysis of coal as described on page 9.4 of Perry et. al., "Chemical Engineer's Handbook", 5th Edition, McGraw Hill International Book Company, 1974.

United States Patent No. 4,562,044, in the name of Bohl (assigned to The Babcock and Wilcox Company) describes a method and apparatus for the on-line analysis of a coal sample. The apparatus includes four radial arms, each carrying a

THE PARTY PROPERTY.

PCT/AU99/00713

5

10

15

20

25

30

sample cup. An indexing motor indexes each sample cup along a circular path past a filling station where the cup is filled with pulverised coal. The cup then passes to an analysing station where various chemical analyses are performed. The cup then moves to a dumping station and a cleaning station, following which the cup is again ready for filling with pulverised coal.

United States Patent No. 4,841,153 in the name of Wormald (assigned to Cogent Limited) relates to an analysis system and method for analysing coal in which the coal is bombarded by neutrons to generate gamma rays. The gamma rays are detected and the composition of the coal determined therefrom.

Other detectors bombard the coal with gamma rays or x-rays. Such systems require stringent safety precautions to be taken to avoid the possibility of exposing operating staff to x-rays or gamma rays.

Another technique that has been reported as being used on a laboratory scale for coal analysis is laser-induced breakdown spectroscopy (LIBS) or laser spark emission spectroscopy. In this technique, a high energy laser (normally pulsed) is used to vaporise and ionise a small amount of material for analysis. The vaporised material or laser-induced breakdown plasma produces strong optical emission. Spectroscopic analysis of the optical emission gives information about the properties of the material being analysed. A discussion of one technique using LIBS is given in a paper by Ottesen et. al. entitled "Laser Spark Emission Spectroscopy for In-Situ, Real Time Monitoring of Pulverised Coal Particle Composition", published by Sandia National Laboratories (No. SAND 90-8586), on behalf of the Department of Energy, printed August 1990.

Although LIBS techniques have shown promise as being suitable for coal analysis, the present inventors are not aware of the technique being applicable beyond the laboratory scale, due to difficulties which include spectral line interference, slow sampling and response times, and calibration uncertainty.

It is an object of the present invention to provide an improved apparatus and method for the analysis of material.

In a first aspect, the present invention provides an apparatus for analysing a material comprising a laser for impinging laser light onto the material to vaporise

WO 00/14516 PCT/AU99/00713

and ionise at least part of the material and to cause spectral emissions therefrom, a plurality of detection means for detecting spectral emissions from the material, each of said plurality of detection means detecting a part of the spectrum of the spectral emissions, data collection means to collect data from said plurality of detection means on said spectral emissions, and determining means to determine the presence and/or amount of one or more elements or species in the material.

5

10

15

20

25

30

Preferably, the apparatus further comprises a plurality of data collection means whereby each of the plurality of detection means is associated with a respective data collection means.

Each of the plurality of detection means may comprise a spectrometer adjusted to a part of the spectral region. Each of the spectrometers may have a CCD detector associated with the spectrometer. The CCD detector may pass information on the spectral region to a data acquisition card or a data file in a computer or memory space. This data may then be analysed to determine the presence of one or more elements or species in the material and preferably to determine the amount or concentration of the element or species in the material.

Spectrometer types suitable for use in the present invention include grating and prism spectrographs; interferometers, such as etalon and scanning interferometer types; and filters, including coloured glass or interference filter types which allow transmission or reflection of a portion of the spectrum.

Detectors other than CCD's (charged-coupled detectors) may also be used. Other detectors that may be used in the present invention include photodiode arrays, vidicons, photomultiplier tubes and photodiodes. The person skilled in the art would readily appreciate which detector(s) should be used.

Preferably the apparatus further comprises control means for controlling firing of the laser and for controlling and synchronising operation of the plurality of detection means. The control means may include a timing circuit to fire the laser at specified times and to operate the detection means at other specified times. It is especially preferred that the control means also synchronises operation of each of the plurality of detection means such that the plurality of detection means simultaneously detect spectral emissions from the material.

10

15

20

25

30

In place of the timing circuit, the control means may comprise control software to control operation of the laser and the detection means.

The apparatus will also include one or more optical systems to focus the laser light on the material and to focus the spectral emissions on the plurality of detection means. The one or more optical systems may include one or more lenses, optical fibre, prisms, beam splitters or other optical components. Although suitable optical systems are required, it will be understood that the design of the optical system does not form part of the invention concept of the present invention and the person skilled in the art will be able to design a large number of suitable optical systems without requiring inventive ingenuity. Accordingly, the optical system(s) need not be discussed further.

The laser may be any laser capable of causing vaporisation and ionisation of a part of the material. Suitable lasers include solid state lasers such as the 1064nm Nd:YAG laser, harmonic wavelengths of the Nd:YAG laser, i.e. 532nm, 355nm and 266nm; gas lasers such as excimer lasers, e.g. 308nm XeCl, or 248nm KrF excimer lasers; carbon dioxide lasers; liquid lasers such as dye lasers; or any wavelength/frequency shifting, harmonic generation or mixing of the above. Lasers other than those specifically mentioned may also be used. The person of skill in the art will readily be able to select an appropriate laser.

The apparatus of the present invention may be suitable for analysing material in a laboratory, on a conveyor or in the ground.

The apparatus of the present invention enables high resolution of elemental fluorescence to be obtained and largely avoids or minimises spectral interferences common in known LIBS analysers. The present invention also enables detection of a large spectral range in a single laser pulse thereby greatly decreasing time for analysis. This reduced time for analysis allows the apparatus to be used as a real-time analytical tool. It also minimises sampling errors. In this regard, the apparatus can obtain an analysis of a number of elements in that portion of the material vaporised in each laser pulse. In contrast, known LIBS apparatus required sequential analysis of the material which meant that a number of laser pulses were required. Each laser pulse would vaporise a different part of the material which

10

15

20

25

30

could lead to errors, particularly if the material being analysed has pronounced heterogeneity.

The present invention also relates to a method for analysing a material.

In a second aspect, the present invention provides a method for analysing a material comprising subjecting said material to laser light to at least partly vaporise and ionise said material to thereby cause spectral emissions, detecting said spectral emission with a plurality of detection means, each of said plurality of detection means detecting a part of the spectrum of the spectral emission, collecting data from a plurality of detection means and analysing said data to determine the presence and/or amount of one or more elements or species in the material.

Preferably, the step of collecting data from the plurality of detection means comprises passing spectral information from the plurality of detection means to data acquisition cards associated with each of the plurality of detection means.

The method may further comprise controlling operation of the laser and the plurality of detection means.

The apparatus and method of the present invention will now be further described with reference to a preferred embodiment of the present invention. In the accompanying drawings:

Figure 1 is a schematic drawing of an apparatus in accordance with an embodiment of the present invention;

Figure 2 shows an analysis of coal obtained using the apparatus in accordance with the present invention;

Figure 3 shows an arrangement incorporating the present invention for insitu analysis of coal;

Figure 4 shows an alternative arrangement for analysing coal in a coal seam;

Figure 5 shows an embodiment of the present invention being used for onconveyor analysis; and

Figure 6 shows an alternative arrangement of the present invention for onconveyors analysis of coal.

10

15

20

25

30

In the following description of a preferred embodiment of the present invention, the method and apparatus of the invention was used to analyse coal. However, it will be appreciated that the method and apparatus of the present invention may be used to analyse a wide range of materials. The materials that may be analysed by the method of the invention may be solid, liquid or even gaseous. In the apparatus shown in Figure 1, a laser 10, which may be a 1064 nmNd:YAG laser, emits pulses of laser light that are focused by an optical system 12 onto a material to be analysed 14. In the small region of the laser spot focused on the material 14, the laser power density produces rapid heating and ionisation of a small sample of the material. Light is emitted from the vaporised and ionised material containing spectral information on the material involved. The light emitted from the vaporised and ionised material is schematically represented at 16 and this emitted light is detected by a plurality of detection means 20, 22, 24. The apparatus shown in Figure 1 has three detection means but it will be appreciated that a lesser or greater number of detection means may be utilised. It is envisaged that a greater number of detection means may be utilised if especially high Detection means 20 comprises a spectrometer 26 that resolution is required. is adjusted to a part of the spectrum of the spectral emissions emanating from material 14. Detection means 20 also includes a CCD detector 28 which suitably comprises a readily available commercial CCD detector. The CCD detector 28 may comprise a 12-16-bit detector.

Similarly, detection means 22 comprises a spectrometer 30 and a CCD detector 32. Detection means 24 also comprises a spectrometer 34 and CCD detector 36.

The CCD detectors 28, 32, 36 detect information from the specific spectral region provided by their associated spectrometers. The CCD detectors then pass the detected information to respective dedicated data acquisition cards 38, 40, 42 which are associated with a central computer 44. The data acquisition cards may include analog-to-digital conversion boards/circuitry. The computer 44 also includes control means 46 to control the operation of the laser 10 and the plurality of the detection means 20, 22, 24.

In use of the apparatus shown in Figure 1, the control means 46 sends a control signal to laser 10 which causes the laser to emit a pulse of laser light. The pulse of laser light 10 is focused onto the surface of material 14 which causes vaporisation and ionisation of a small part of the material 14.

5

10

15

20

30

Shortly after the control signal causes a pulse of laser light 10 to be emitted by the laser, the control means 46 sends control signals to the detection means 20, 22, 24 which turns on those detection means. It is preferred that there is a slight delay between firing of the laser and initialisation of operation of the spectrometers in order to ensure that the CCD detectors do not detect the pulse of laser light and only detect the emitted spectra. This control signal causes the spectrometers 26, 30, 34 to collect light from the relevant spectral region for a predetermined period of time and to enable the CCD detectors 28, 32, 36 to detect that light. Each of spectrometers 26, 30, 34 collect light from particular regions of the emission The particular regions may be discrete, separate regions of the spectrum. spectrum, or there may be some overlap between the spectral region collected by one of the spectrometers and the spectral region collected by another of the spectrometers. Whilst the detection means 20, 22, 24 are collecting and detecting the light from the emitted spectral region from the sample 14, the CCD detectors are also forwarding information to the respective data acquisition cards 38, 40, 42. The CCD detectors are formed from individual areas of light sensitive material (usually silicon) known as pixels. Each pixel converts the light intensity to an electric change or current which is then digitised by the data acquisition cards. The use of separate data acquisition cards for each detection means enables rapid collection of large amounts of data and this in turn allows the rapid analysis of the material to take place at high spectral resolution. 25

The data collected by the data acquisition cards 38, 40, 42 is then analysed by the computer to determine the elements or species present in the material and also to determine the relative amounts of each of those elements or species. The amount of each element or species in the material may be determined by integrating the area under the spectral line at a wavelength that is characteristic of the spectral emission of a given element or species and comparing that area with the area under the same spectral line obtained from a material having a known content of that particular element or species.

Print outs of the spectral emission data collected from an apparatus in accordance with the present invention is shown in Figure 2. Figure 2 has been marked to show the spectral lines for ion, calcium, sodium, hydrogen, carbon, magnesium, silicon, and aluminium.

Since the apparatus allows the measurement of coal components including carbon (C), hydrogen (H) and oxygen (O) - as well as ash forming components, - it also allows determination of fuel properties relevant to utilisation of the coal, in particular the coal heating (calorific) value and water content.

10

15

20

25

30

The apparatus and method of the present invention preferably detects spectral emissions from the material being analysed in the visible light range. However, the apparatus may also detect long wavelength infrared and short wavelength ultra violet radiation.

The apparatus of the present invention provides an apparatus that enables high resolution determination of a range of materials. Detection of a large spectral range in a single laser pulse is possible, which greatly reduces the time taken for analysis. This in turn leads to a reduction in sampling errors because all of the elements being analysed are present in that portion of the sample vaporised in each laser pulse, compared to the laser vaporising different pieces of sample when elements are analysed sequentially. Although a single pulse of laser is sufficient to enable analysis of a wide range of elements in the material, sound sampling techniques will utilise information collected and analysed from a plurality of laser pulses. For example, twenty to one hundred laser pulses may be used to increase the amount of data collected from the material and thereby obtain a more accurate analysis.

Furthermore, use of a plurality of CCD detectors enables relatively short CCD detector arrays to be used, which reduces the transfer time of data from the CCDs to the computer when compared to configurations using very long CCD arrays. Moreover, the apparatus provides good dynamic range. Dynamic range is an important concept in any analytical instrument. Ideally, instruments are

10

15

20

25

30

designed to detect dilute concentrations of elements or compounds. However, they need to be designed so that they can determine high concentrations as well, thus widening their potential applications. In the present apparatus, the dynamic range is determined by two factors.

Firstly, the dynamic range is determined by the sensitivity of the spectrometer system. This is a function of the sensitivity and resolution of the CCD's plus the level of light transmittance to the detector (which may be adjustable by the use of filters, for example).

The second method of adjusting dynamic range is related to the power of the laser. The sensitivity of the technique (i.e. the detection limit) is critically dependent on laser power. Adjusting the laser power therefore offers a convenient way of widening the dynamic range available to the user. Adjustment of the laser power in the present apparatus may be conducted by varying the timing or control circuitry or by a number of other methods available to the instrument designer. This is a further advantage of the present invention.

It will be appreciated that the invention described herein may be susceptible to a number of variations and modifications other than those specifically described. In particular, although Figure 1 shows the use of multiple spectrometers, a single multi-channel spectrometer may be used. However, each channel of such a multi-channel spectrometer would have a dedicated CCD detector (or other suitable detection means) associated therewith.

The optical systems used to focus the laser light onto the sample and to focus the emitted light onto the detection means may be of any particular design and still fall within the scope of the present invention.

The apparatus of the present invention can be used as a laboratory instrument or as an in-field instrument. The apparatus and method of the present application analyse emitted light and not reflected light and thus it is not necessary that the surface of the material being analysed be exactly aligned with the light collection optical system. This allows the apparatus to be used in situations where sample preparation is not critical. With particular regard to its use in coal analysis, the in-field instrument may be used to analyse coal travelling on a conveyor belt.

In this system, the laser may directly impinge upon coal travelling along the conveyor belt, with the detection means arranged to detect the spectral emissions from the material on the conveyor belt. Alternatively, a sampling apparatus may remove a sample of coal from the conveyor belt for analysis by the apparatus in close proximity to the conveyor belt to thereby provide a real time analysis of the coal on the conveyor belt. It is also to be understood that the present invention can be used to analyse materials other than coal in the field.

The apparatus may also be used as an in-hole or in-ground instrument for analysing coal in coal seams. In this embodiment, a sampling head may be lowered down a hole bored in the coal seam. Alternatively, if the coal seam is relatively soft, the sampling head may be included as part of a penetrometer or other penetrating instrument that is pushed into the coal seam. The Sampling head may be optically linked to the detection means by an optical system that includes one or more optical fibres such that the detection means can be located remotely from the sampling head. This allows the size of the sampling head to be minimised.

10

15

20

25

30

Turning now to Figure 3, an inground analyser includes laser analysis system 50 that includes a laser. Fibre optic cable 51 is linked to lens system 52 and allows laser light from laser analysis system 50 to be passed to the zone of material to be analysed. A bore hole 54 is either pre-drilled in the ground or formed by a penetrometer.

The optical fibre cable 51 and lens system 52 are housed within a strong housing 55 that is inserted into the bore hole 54. Housing 55 includes a clear window 53 located adjacent lens system 52. This is more clearly shown in the inset in Figure 3. This allows the laser light to impinge upon the coal adjacent the clear window 53 to form a plasma. The emission spectra of the plasma is returned via fibre optic cable 51 to the laser analysis system 50 for analysis. The laser analysis system 50 is, in this regard, essentially identical to the apparatus as shown in Figure 1.

As an alternative to the embodiment shown in Figure 3, the laser can be directly mounted in housing 55 and lowered into the ground.

Figure 4 shows an alternative apparatus for in-situ analysis. The apparatus includes a laser analysis system 60 that is essentially identical to that shown in Figure 1. In order to analyse material from the ground, a drill bit 61 and drill stringer 62 excavate a bore hole 63. Compressed air is passed downwardly through drill stringer 62 (with the direction of air flow in drill stringer 62 being shown by arrow 64) and subsequently moves upwardly through the annular space defined between the outer wall of drill stringer 62 and bore hole 63, as shown by arrows 65. The upward flow 65 of air entrains cuttings from drill bit 61 and these cuttings are then delivered to laser analysis system 60 for analysis. Delivery of the cuttings is shown schematically by reference numbered 66.

Analysis of coal on a conveyor belt is shown schematically in Figure 5. In this Figure, a layer of coal 70 travelling on conveyor belt 71 is subjected to a laser beam 72 emanating from laser analysis system 73. Laser analysis system 73 is essentially identical to the apparatus shown in Figure 1. The fluorescence spectra 74 emitted from the coal is then analysed by laser analysis system 73.

10

15

20

25

An alternative apparatus for analysing coal on a conveyor is shown in Figure 6. In Figure 6, features in common with Figure 5 are denoted by the same reference numerals as Figure 5. The apparatus of Figure 6 differs from that of Figure 5 in that the apparatus of Figure 6 includes a sampling system 75 that extracts a sample of coal from the conveyor, which sample is subsequently analysed by the laser analysis system 73. After analysis, the sample is returned to the conveyor and a fresh sample is taken for analysis.

Those skilled in the art will appreciate that the invention described herein is susceptible to variations and modifications other than those specifically described. It will be understood that the present invention encompasses all such variations and modifications that fall within the spirit and scope.

#### Claims:

5

10

20

- 1. An apparatus for analysing a material comprising a laser for impinging laser light onto the material to vaporise and ionise at least part of the material and to cause spectral emissions therefrom, a plurality of detection means for detecting spectral emissions from the material, each of said plurality of detection means detecting a part of the spectrum of the spectral emissions, data collection means to collect data from said plurality of detection means on said spectral emissions, and determining means to determine the presence and/or amount of one or more elements or species in the material.
- 2. Apparatus as claimed in claim 1 further comprising a plurality of data collection means whereby each of the plurality of detection means is associated with a respective data collection means.
- 3. Apparatus as claimed in claim 1 or claim 2 wherein each of the plurality of detection means comprises a spectrometer adjusted to detect a part of the spectral region.
  - 4. Apparatus as claimed in claim 3 wherein each of the spectrometers has a charged coupled device (CCD) detector associated therewith.
  - 5. Apparatus as claimed in any one of claims 2 to 4 wherein the plurality of data collection means comprises a plurality of data acquisition cards.
    - 6. Apparatus as claimed in claim 5, when appended to claim 4, wherein each of the plurality of data acquisition cards receive spectral information from the CCD detector associated with the respective spectrometer.
- 7. Apparatus as claimed in any one of claims 3 to 6 wherein the spectrometers are selected from grating and prism spectrographs, interferometers including etalon and scanning interferometers, and filters including coloured glass or interference type filters which allow transmission or reflection of a portion of the spectrum.
- 8. Apparatus as claimed in any one of claims 2 to 7 further comprising control means for controlling firing of the laser and for controlling and synchronising operation of the plurality of detection means.

PCT/AU99/00713

5

10

15

20

25

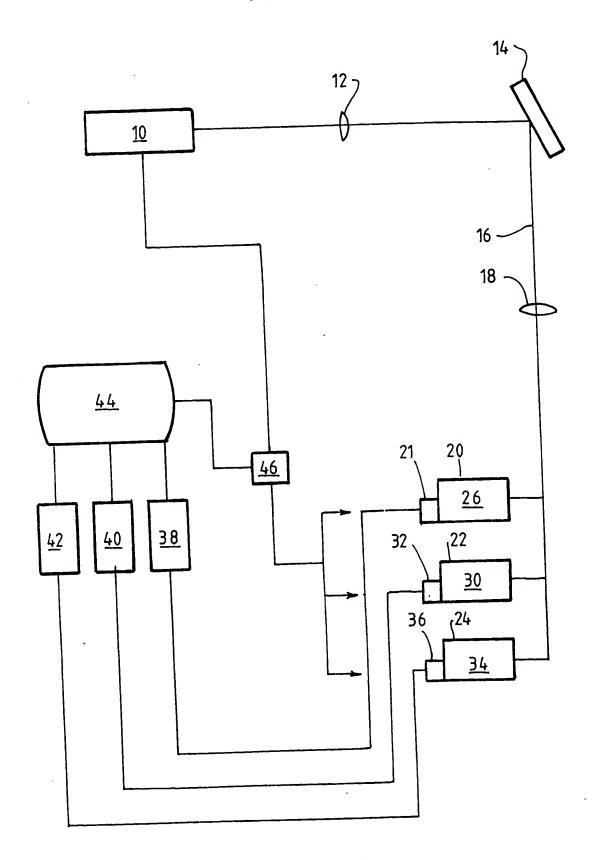
- 9. Apparatus as claimed in claim 8 wherein the control means includes a timing circuit to fire the laser at specified times and to operate the detection means at other specified times.
- 10. Apparatus as claimed in claim 8 wherein the control means comprises control software to control operation of the laser and the plurality of detection means.
  - 11. Apparatus as claim in any one of claims 8 to 10 wherein the control means synchronises operation of each of the plurality of detection means such that the plurality of detection means simultaneously detect spectral emissions from the material.
  - 12. Apparatus as claimed in any one of the preceding claims further comprising an optical system for focussing laser light on the material and for focussing the spectral emissions on the plurality of detection means.
- 13. Apparatus as claimed in any one of the preceding claims wherein the laser is selected from the group comprising solid state lasers including 1064nm Nd:YAG laser, harmonic wavelengths of the Nd:YAG laser, being 532nm, 355nm and 266nm; gas lasers including excimer lasers, 308nm XeCl, or 248nm KrF excimer lasers; carbon dioxide lasers; liquid lasers including dye lasers; or any wavelength/frequency shifting; harmonic generation or mixing thereof.
- 14. Apparatus as claimed in claims 1, 2 or 3 wherein the detection means comprises photodiode arrays, vidicons, photomultiplier tubes and photodiodes.
  - 15. Apparatus as claimed in any one of claims 1 to 14 wherein said laser impinges upon material travelling on a conveyor belt to thereby analyse the material on the conveyor belt.
  - 16. Apparatus as claimed in any one of claims 1 to 14 wherein the apparatus comprises an in-hole or in-ground instrument for analysing material in the ground.
- 17. Apparatus as claimed in claim 16 wherein the apparatus comprises a sampling head for lowering down a hole.

- 18. Apparatus as claimed in claim 16 wherein the apparatus comprises a sampling head carried within a penetrometer or other ground penetrating instrument.
- 19. Apparatus as claimed in claim 17 or claim 18 wherein the sampling head is optically linked to the detection means by an optical system that includes one or more optical fibres wherein the detection means is located remotely from the sampling head.

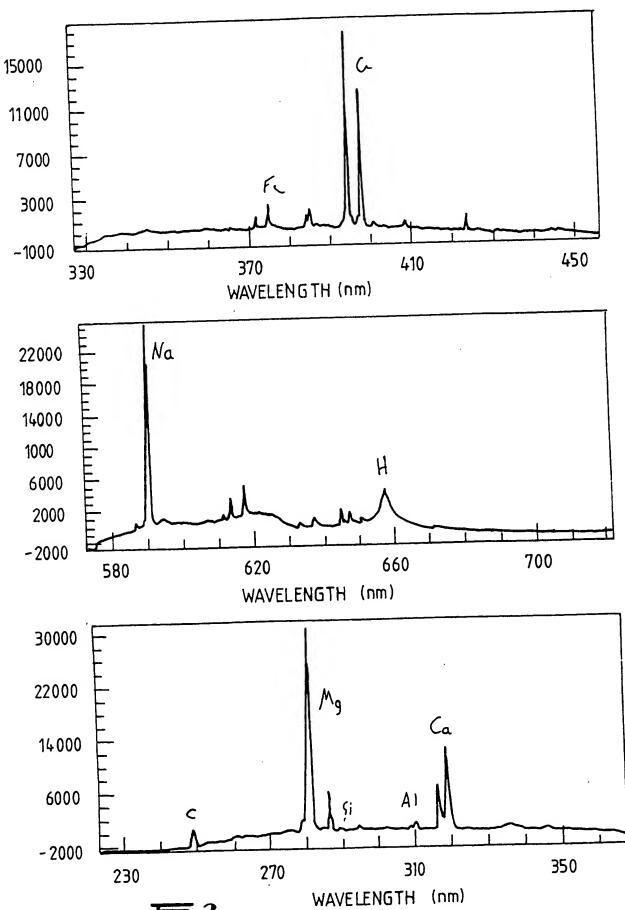
10

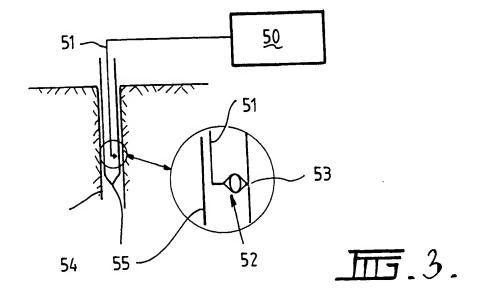
15

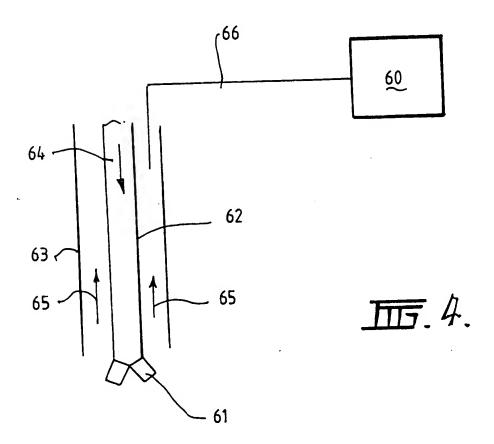
- 20. A method for analysing a material comprising subjecting said material to laser light to at least partly vaporise and ionise said material to thereby cause spectral omissions, detecting said spectral emission with a plurality of detection means, each of said plurality of detection means detecting a part of the spectrum of the spectral emission, collecting data from a plurality of detection means and analysing said data to determine the presence and/or amount of one or more elements or species in the material.
- 21. A method as claimed in claim 20 wherein the step of collecting data from the plurality of detection means comprises passing spectral information form the plurality of detection means to data acquisition cards associated with each of the plurality of detection means.
- 22. A method as claimed in claim 20 or claim 21 wherein the material being analysed is coal.



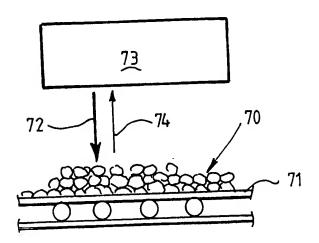
面.1.



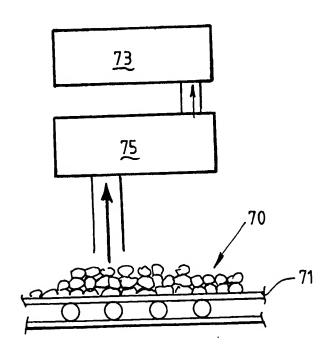




4 / 4

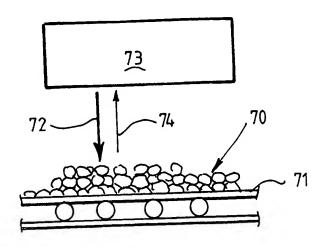


.5.

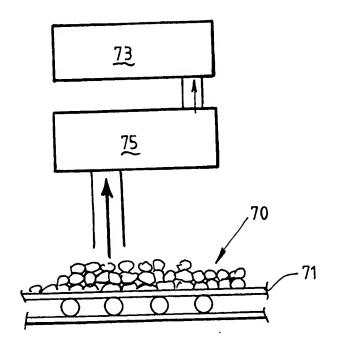


顶.6.

4 / 4



.5.



5.6.

## INTERNATIONAL SEARCH REPORT

International application No.
PCT/AU 99/00713

		PCT/AU	99/00713
. C	LASSIFICATION OF SUBJECT MATTER		
nt Cl <sup>6</sup> : C	GOIN 21/71, 33/24		
According to I	nternational Patent Classification (IPC) or to both nat	ional classification and IPC	
	FIELDS SEARCHED		
Minimum docum	nentation searched (classification system followed by classi EYWORDS	ification symbols)	
Documentation	searched other than minimum documentation to the extent	that such documents are included in t	the fields searched
Electronic data WPAT, JAP	base consulted during the international search (name of data IO, INSPEC (coal, lignite, anthracite, peat, laser,	ta base and, where practicable, search coherent, vapor:, ion:, emission	terms used) #, spectr:)
С.	DOCUMENTS CONSIDERED TO BE RELEVANT		<u> </u>
Category*	Citation of document, with indication, where appro	priate, of the relevant passages	Relevant to claim No.
х	Patent Abstracts of Japan, JP 10038806 A (MITSUBISHI HEAVY IND LTD)	13 February 1998	1-22
x	Proceedings of the SPIE-The International Society Vol. 1375, pp 122-132, 1990, ISSN 0277-786X (O See abstract	(TIESEN)	1-22
x	IECEC-93. Proceedings of the 28 <sup>th</sup> Intersociety En Conference, pp 995-1000, vol. 1, Published Washi (1262 + 943)pp. ISBN 0841227225 (SINGH et al) See abstract	1-22	
X	l — l l l l l l l l l l l l l l l l l l	X See patent family	annex
"A" doc not "E" earl the "L" doc or v and doc "O" doc ext	cial categories of cited documents:  "T"  ument defining the general state of the art which is considered to be of particular relevance lier application or patent but published on or after international filing date cument which may throw doubts on priority claim(s) which is cited to establish the publication date of other citation or other special reason (as specified) cument referring to an oral disclosure, use, hibition or other means cument published prior to the international filing the but later than the priority date claimed	be considered novel or cannot be inventive step when the documer document of particular relevance be considered to involve an invercombined with one or more other combination being obvious to a particular results.	ith the application out cited to y underlying the invention the claimed invention cannot considered to involve an at is taken alone the claimed invention cannot native step when the document in r such documents, such person skilled in the art
Date of the	actual completion of the international search	Date of mailing of the international	search report 2 8 SEP 1999
AUSTRAL PO BOX 20 WODEN A AUSTRAL	mailing address of the ISA/AU IAN PATENT OFFICE 00 ACT 2606	Authorized officer  STEPHEN CLARK Telephone No.: (02) 6283 2164	

## INTERNATIONAL SEARCH REPORT

International application No.

	PCT/AU	99/00713
C (Continua	tion). DOCUMENTS CONSIDERED TO BE RELEVANT	Relevant to
Category*	Citation of document, with indication, where appropriate, of the relevant passages	claim No.
A	Derwent Abstract Accession No. 98-442678/38, Class J04, JP 10185817 A (MITSUBISHI JUKOGYO KK) 14 July 1998	1-22
A	Patent Abstracts of Japan JP 09155293 A (MITSUBISHI HEAVY IND LTD) 17 June 1997	1-22
A	US 4486894 A (PAGE et al) 4 December 1984 See whole doc., particularly abstract	1-22
	*	
	·	

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No. PCT/AU 99/00713

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Doo	cument Cited in Search Report	Patent Family Member				
US	4486894	CA	1144662	DE	3029709	
						END OF ANNEX

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

□ BLACK BORDERS
IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
☐ LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
□ other:

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.